

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 130)

Complete if Known

Application Number	09/981,425
Filing Date	10/17/01
First Named Inventor	Fanwen Zeng, et al.
Examiner Name	Not yet assigned
Group Art Unit	1714
Attorney Docket No.	A01123

METHOD OF PAYMENT

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **18-1850**
Deposit Account Name **Rohm and Haas Company**

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Code	Fee Code	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$ 0)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3* =	X	

Large Entity	Small Entity	Fee Code	Fee Code	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ 0)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity	Small Entity	Fee Code	Fee Code	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.1 7(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 130)

SUBMITTED BY

Name (Print/Type)

PAUL S. CHIRGOFF

Registration No.
(Attorney/Agent)

31,749

Complete (if applicable)

Telephone

(215) 597-3410

Signature

Date

11/28/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



11-30-01

Sector
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PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket Number: A01123
PSC/yv

In re application of:
Fanwen Zeng, et al

Serial No: 09/981,425 : Group Art Unit: 1714
Filed: 10/17/01 : Examiner: Not yet assigned

For: PROCESSES FOR PREPARING IMPACT MODIFIER POWDERS

Commissioner for Patents
2900 Crystal Drive
Arlington, VA 22202-3513

CERTIFICATE OF EXPRESS MAILING

Sir:

I hereby certify that the following correspondence is being deposited with the U.S. Postal Service as express mail in an envelope addressed to the Commissioner for Patents, Arlington, VA 22202-3513 on the date indicated next to my signature below:

Response to Notice to File Missing Parts of Non-Provisional Application
Copy of Notice to File Missing Parts of Non-Provisional Application
Missing Parts – Original Declaration and Power of Attorney
Fee Transmittal (in duplicate)
Return Receipt Postcard

11/28/01

Date

Yvette Vigliani

Signature



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/981,425	10/17/2001	Fanwen Zeng	A01123

21898
ROHM AND HAAS COMPANY
PATENT DEPARTMENT
100 INDEPENDENCE MALL WEST
PHILADELPHIA, PA 19106-2399



CONFIRMATION NO. 6261

FORMALITIES LETTER



OC000000007082645

Date Mailed: 11/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

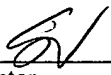
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/03/2001 BABRAM1 00000041 101050 09901425

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket Number: A01123
PSC/yv

In re application of:
Fanwen Zeng, et al.



Serial No: 09/981,425

Group Art Unit: 1714

Filed: 10/17/01

Examiner: Not yet assigned

For: **PROCESSES FOR PREPARING IMPACT MODIFIER POWDERS**

Commissioner for Patents
2900 Crystal Drive
Arlington, VA 22202-3513

**RESPONSE TO NOTICE TO FILE
MISSING PARTS OF APPLICATION**

Sir:

In response to the Official Action dated November 16, 2001, the undersigned Attorney has enclosed a duly executed Declaration and Power of Attorney and authorization for payment of the deficiency of the statutory basic filing fees. A copy of the "Notice To File Missing Parts of Application" is also enclosed.

Please charge the payment of the surcharge to Deposit Account Number 18-1850. A Fee Sheet is enclosed in duplicate.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Paul S. Chirgott".

Paul S. Chirgott
Attorney/Agent for Applicant(s)
Reg. No. 31,749
Tel. No. (215) 592-3410

Rohm and Haas Company
100 Independence Mall West
Philadelphia, PA 19106-2399